Naphthalene-, Anthracene-, and Pyrene-Substituted Fullerene Derivatives as Electron Acceptors in Polymer-based Solar Cells

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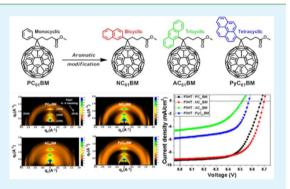
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Supporting Information

ABSTRACT: A series of aryl-substituted fullerene derivatives were prepared in which the aromatic moiety of [6,6]-phenyl C₆₁-butyric acid methyl ester (PC₆₁BM) was modified by replacing the monocyclic phenyl ring with bicyclic naphthalene (NC₆₁BM), tricyclic anthracene (AC₆₁BM), and tetracyclic pyrene (PyC₆₁BM). The PC₆₁BM derivatives were synthesized from C_{60} using tosylhydrazone and were tested as electron acceptors in poly(3-hexylthiophene) (P3HT)-based organic photovoltaic cells (OPVs). The lowest unoccupied molecular orbital (LUMO) energy level of NC₆₁BM (-3.68 eV) was found to be slightly higher than those of $PC_{61}BM$ (-3.70 eV), $AC_{61}BM$ (-3.75 eV), and $PyC_{61}BM$ (-3.72 eV). The electron mobility values obtained for the



P3HT:PC₆₁BM, P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM blend films were 2.39 × 10⁻⁴, 2.27 × 10⁻⁴, 1.75 × 10⁻⁴, and 2.13 × 10⁻⁴ cm² V⁻¹ s⁻¹, respectively. P3HT-based bulkheterojunction (BHJ) solar cells were fabricated using NC₆₁BM, AC₆₁BM, and PyC₆₁BM as electron acceptors, and their performances were compared with that of the device fabricated using $PC_{61}BM$. The highest power conversion efficiencies (PCEs) observed for devices fabricated with PC₆₁BM, NC₆₁BM, AC₆₁BM, and PyC₆₁BM were 3.80, 4.09, 1.14, and 1.95%, respectively, suggesting NC₆₁BM as a promising electron acceptor for OPVs.

KEYWORDS: fullerene (C_{60}) derivative, electron acceptor, organic photovoltaic cell (OPV), bulk-heterojunction

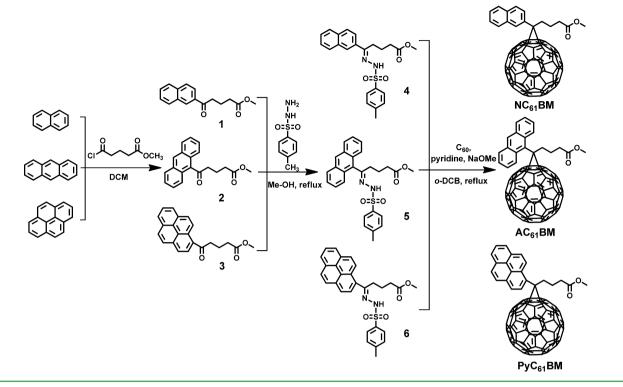
INTRODUCTION

The demand for inexpensive, renewable energy sources continues to stimulate new approaches to the production of efficient, low-cost organic photovoltaic cells (OPVs).¹⁻⁵ OPVs based on bulk-heterojunctions (BHJs) use a phase-separated blend of electron-donating polymers such as poly(3-hexylthiophene) (P3HT) and electron-accepting materials such as [6,6]-phenyl-C₆₁-butyric acid methyl ester (PC₆₁BM).^{6,7} Since the earliest reports of BHJ OPVs, fullerene derivatives have been used almost exclusively as acceptors in OPVs fabrication.^{8–10} This is due to the exceptionally rapid rate at which they accept electrons from conjugated donor polymers, combined with the slow rate at which the reverse electron transfer occurs and the excellent electron transport properties they exhibit.^{11–13} Recently, a rich variety of fullerene-based electron acceptors have been developed for potential use in BHJ solar cells. Various modifications to C₆₀ fullerenes have been studied for improving solubility, controlling the lowest unoccupied molecular orbital (LUMO) energy level, and enhancing the light-harvesting ability.¹⁴⁻²⁷

PC₆₁BM, in particular, has become one of the most widely used electron acceptors and has been extensively studied because of its good solubility characteristics and the high power conversion efficiency (PCE) it exhibits in OPVs devices. Research on PC₆₁BM most often involves structural modification of the aromatic phenyl and aliphatic butyric methyl ester moieties. For example, Troshin et al. reported on the synthesis and photovoltaic properties of various PC₆₁BM derivatives by replacing the phenyl group with alkoxyphenyl, thiophenyl, and furanyl moieties; additionally, they further altered the molecule by changing the alkyl spacer length, or the alcohol part of the ester, and by replacing the ester functionality with a ketone.¹⁵ Because most of the synthesized derivatives exhibited lower or at best comparable PCE in P3HT-based photovoltaic cells when compared with unmodified PC₆₁BM,

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Scheme 1. Synthesis and Chemical Structures of NC₆₁BM, AC₆₁BM, and PyC₆₁BM



there remains a need to produce derivatives that show higher performance.

In this study, we investigate the effects of changing the $PC_{61}BM$ aryl group on photovoltaic performance. We replaced the phenyl ring with naphthalene (bicyclic), anthracene (tricyclic), and pyrene (tetracyclic) and investigated the photophysical properties of the resulting $NC_{61}BM$, $AC_{61}BM$, and $PyC_{61}BM$. The synthesis and chemical structures of these aryl-substituted fullerene derivatives are shown in Scheme 1.

RESULTS AND DISCUSSION

Synthesis and Characterization. $NC_{61}BM$, $AC_{61}BM$, and $PyC_{61}BM$ were synthesized by reacting the appropriate aryl tosylhydrazone with C_{60} , as shown in Scheme 1; this reaction is known to proceed through a carbene intermediate.⁶ The synthesized fullerene derivative formation was confirmed by ¹H and ¹³C NMR, as well as by fast atom bombardment mass spectroscopy (FAB-MS; Figures S1 and S2 in the Supporting Information). All the synthesized fullerene derivatives showed good solubility in common organic solvents such as chloroform, toluene, and 1,2-dichlorobenzene.

The thermal properties of both PC₆₁BM and the new arylsubstituted fullerene derivatives were investigated by thermogravimetric analysis (TGA). The 5% weight loss temperatures (T_d) for PC₆₁BM, NC₆₁BM, AC₆₁BM, and PyC₆₁BM were measured to be 402, 420, 443, and 487 °C, respectively (Figure S3, Supporting Information), indicating that the synthesized aryl-substituted fullerene derivatives were more thermally stable than PC₆₁BM. Overall, T_d values increased with the substituent size increased.

Optical Properties. Figure 1a shows the UV–visible absorption spectra measured for $PC_{61}BM$ and the new aryl-substituted fullerene derivatives in 1,2-dichlorobenzene solution (10^{-5} M) . The synthesized derivatives showed a similar absorption band in the range of 330–400 nm and exhibited

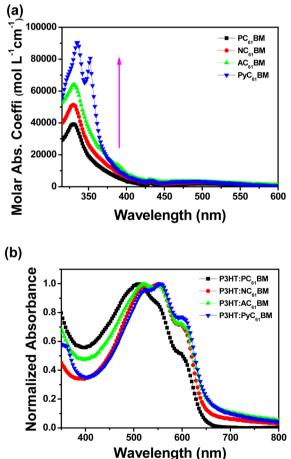


Figure 1. UV–visible absorption spectra of (a) $PC_{61}BM$ and arylsubstituted fullerene derivatives at a 10^{-5} M concentration in 1,2dichlorobenzene and (b) P3HT:acceptor films.

higher molar absorption coefficients than $PC_{61}BM$, with values of 39 393, 51 452, 64 374, and 91 186 mol L^{-1} cm⁻¹ for $PC_{61}BM$, $NC_{61}BM$, $AC_{61}BM$, and $PyC_{61}BM$, respectively. These observations are consistent with what would be expected for a larger π -system. The maximum absorption wavelengths and molar coefficients of $PC_{61}BM$ and aryl-substituted fullerene derivatives are listed in Table 1. The absorption spectra of

Table 1. Optical Properties of PC₆₁BM and Aryl-Substituted Fullerene Derivatives

	10^{-5} mol/L		
acceptor	$\lambda_{\rm max, \ abs} \ ({\rm nm})$	$\lambda_{ m max}~(m M^{-1}~ m cm^{-1})$	
PC ₆₁ BM	330	39 339	
NC ₆₁ BM	330	51 452	
AC ₆₁ BM	330	64 374	
PyC ₆₁ BM	335, 352	91 186, 81 317	

blend films formed from the fullerenes and P3HT (1:0.7, w/w) are shown in Figure 1b. These spectra were similar to each other; the location of the vibronic peak around 600 nm, which is indicative of the ordered structural formation and $\pi - \pi$ stacking of P3HT, was unchanged throughout.²⁴ The location of the vibronic peaks of the blend films were unchanged, but the position of the absorption maxima of the blend films were slightly bathochromically shifted compared to that of the P3HT:PC₆₁BM blend film. This could be due to slightly different morphology or ordering of P3HT in the blend systems with the fullerene acceptors.²⁸

The formation of highly ordered P3HT crystals in the blend films was also indicated by grazing incidence wide-angle X-ray scattering (GIWAXS) measurements²⁹ shown in Figure 2. The (100), (200), and (300) P3HT diffraction peaks were strongest in the out-of plane direction (q_z) , indicating that P3HT had a well-organized structure with stacks oriented along the perpendicular axis of the substrate. From the diffraction pattern, we extract a lamellar spacing of 1.59 nm. Moreover, the (010) diffraction peaks at $q_x \approx 1.65$ Å⁻¹ indicated a $\pi - \pi$ stacking distance of 0.38 nm between the P3HT chains in all the samples, consistent with the results in previous reports for P3HT:fullerene blends.^{30,31} These results indicate that the crystallinity of P3HT in the blend films was not diminished by the change in bulk of the aryl group in the fullerene derivatives. The most distinct difference among the diffraction patterns among the samples occurs at $q_z \approx 1.4$ Å⁻¹, which is due to the presence of an amorphous compound such as PC₆₁BM or arylsubstituted fullerene derivatives. The $q_z \approx 1.4$ Å⁻¹ diffraction peak of NC₆₁BM in P3HT blend films is much sharper and more prominent than those of AC61BM and PyC61BM in P3HT blend films. Among these, the diffraction peak of the AC₆₁BM in P3HT blend film showed a particularly broad and diffuse diffraction which may be unfavorable for efficient photovoltaic device operation. 32

Photoluminescence (PL) spectra were measured of P3HT solutions containing different concentrations of our aryl-substituted fullerene derivatives. Pure P3HT solutions showed a peak emission at 577 nm, which was little changed by addition of fullerenes. The PL intensity of the P3HT solutions was decreased by increasing the fullerene concentration (Figure S4, Supporting Information). To investigate the quenching efficiency of the fullerene acceptors ,we used a Stern–Volmer quenching plot.³³

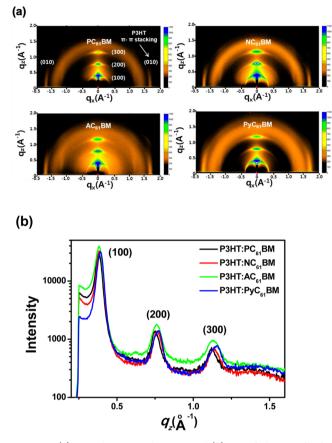


Figure 2. (a) Two-dimensional images and (b) out-of-plane profile for GIWAXS diffraction patterns of P3HT:aryl-substituted fullerene derivative films after annealing at 150 $^{\circ}$ C for 10 min.

 $I^{o}/I = 1 + K_{sv}[Q]$

where I° and I are the measured PL intensities in the absence and presence of the quencher, respectively, K_{sv} is the Stern-Volmer quenching constant, and [Q] is the concentration of the quencher. The Stern-Volmer equation provides useful information on intermolecular quenching process. Stern-Volmer quenching plots of P3HT solution with NC₆₁BM, $AC_{61}BM$, $PyC_{61}BM$, and $PC_{61}BM$ as the quenchers are shown in Figure 3a. The plots showed a linear regime in the quencher concentration range of $0-10^{-4}$ M. From the plots, we could determine the relative quenching efficiencies of the fullerene acceptors. The calculated K_{sv} values for PC₆₁BM, NC₆₁BM, AC₆₁BM, and PyC₆₁BM were respectively 5.85 \times 10³, 5.01 \times 10³, 2.87 × 10³, and 4.48 × 10³ M⁻¹, respectively. The K_{sv} value can be correlated with a binding affinity between the fluorophore and quencher, thus indicating that PC₆₁BM has the highest binding affinity among the fullerene derivatives toward P3HT, while NC₆₁BM showed a higher K_{sv} value than those of AC₆₁BM, and PyC₆₁BM.

PL quenching experiment was also conducted for the donor-acceptor blended active films. The pristine P3HT film showed an emission maximum at 646 nm under excitation at 450 nm, and the PL intensity of pristine P3HT film was decreased by blending with the aryl-substituted fullerene derivatives. As shown in Figure 3b, PL emission was quenched by a very high value of 99.3% relative to pristine P3HT in the P3HT:PC₆₁BM blend, whereas it was quenched slightly less in P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PVC₆₁BM, with the measured values of 95.8, 89.3, and 93.4%, respectively. The

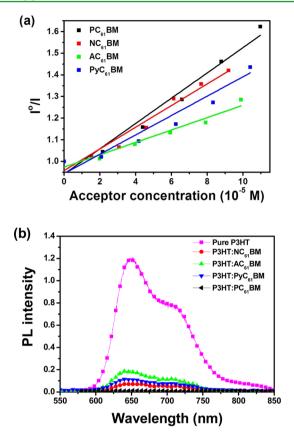


Figure 3. (a) Stern–Volmer plots of P3HT quenching by arylsubstituted fullerene derivatives (10^{-5} M) in solution and (b) PL spectra of pure P3HT and P3HT:aryl-substituted fullerene derivative films.

obtained PL quenching efficiencies are consistent with the $K_{\rm sv}$ values obtained in the donor-acceptor solutions. The electron (i.e., charge) transfer was the most efficient in the P3HT:PC₆₁BM blend system. The electron transfer process is involved in charge separation, and the charge transport properties of active layers of organic photovoltaic devices thus could affect the short-circuit current density of OPVs. The measured $K_{\rm sv}$ and PL quenching efficiencies of the P3HT:fullerene derivative solutions and blends are summarized in Table 2.

Electrochemical Properties. The electrochemical properties of the aryl-substituted fullerene derivatives were investigated by cyclic voltammetry (CV) in 1,2-dichlorobenzene

Table 2. Stern–Volmer Quenching Constant (K_{sv}) of P3HT Quenching by Aryl-Substituted Fullerene Derivatives (10^{-5} M) in Solution and Calculated PL Quenching Efficiency for P3HT:Aryl-Substituted Fullerene Derivative Films

	Stern– Volmer eq		PL quenching	
acceptor	$K_{\rm sv} ({\rm M}^{-1})$	$I_{acceptor}{}_{b}^{a/}$	$1 - (I_{acceptor}/I_{P3HT})$	quenching efficiency (%)
PC ₆₁ BM	5.85×10^{3}	0.007	0.993	99.3
NC ₆₁ BM	5.01×10^{3}	0.042	0.958	95.8
AC ₆₁ BM	2.87×10^{3}	0.107	0.893	89.3
PyC ₆₁ BM	4.48×10^{3}	0.066	0.934	93.4

 a PL intensity in the presence of the acceptor. b PL intensity of pure P3HT.

solution, and the measured reduction potential values were compared with those of C_{60} and $PC_{61}BM$. As shown in Figure 4, $PC_{61}BM$, $NC_{61}BM$, $AC_{61}BM$, and $PyC_{61}BM$ exhibited three

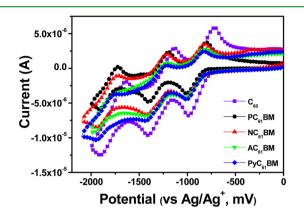


Figure 4. CV data for C_{60} , $PC_{61}BM$, and aryl-substituted fullerene derivatives.

quasi-reversible reductions. The onset reduction potential values (E^{onset} red) for PC₆₁BM, NC₆₁BM, AC₆₁BM, and PyC₆₁BM were -0.84, -0.86, -0.79, and -0.82 eV, respectively. NC₆₁BM showed a more negative E^{onset} red than PC₆₁BM, meaning that it has a higher LUMO energy level than PC₆₁BM, while the E^{onset} red of AC₆₁BM and PyC₆₁BM were less negative, indicating lower LUMO levels. Overall, the calculated LUMO energy levels of PC₆₁BM, NC₆₁BM, AC₆₁BM, and PyC₆₁BM were -3.70, -3.68, -3.75, and -3.72 eV, respectively; the value obtained for PC₆₁BM matches that reported in the literature.³⁴ A relatively high LUMO energy level in the acceptor can result in a high open-circuit voltage value in OPVs devices.³⁵ The reduction potentials and LUMO energy levels of the aryl-substituted fullerene derivatives are summarized in Table 3.

Table 3. Electrochemical Properties of C_{60} , $PC_{61}BM$, and Aryl-Substituted Fullerene Derivatives

acceptor	E^1 red	E^2 red	E^3 red	E^{onset} red	LUMO $(eV)^a$
C ₆₀	-0.99	-1.41	-1.90	-0.78	-3.77
PC ₆₁ BM	-1.01	-1.40	-1.91	-0.84	-3.70
NC ₆₁ BM	-1.02	-1.42	-1.94	-0.86	-3.68
AC ₆₁ BM	-1.02	-1.42	-1.96	-0.79	-3.75
PyC ₆₁ BM	-1.01	-1.42	-1.95	-0.82	-3.72
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"LUMO = $-[(E^{\text{onset}} \text{ red} - E_{\text{foc}}) + 4.8]$ (eV), where E_{foc} is the potential of the ferrocene/ferrocenium ion (Foc/Foc⁺) couple used as an external standard.

Charge-Carrier Mobility. Charge-carrier mobilities in the P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM films were measured using the space-charge limited current (SCLC) method for both hole-only and electron-only devices. Hole-only ITO/PEDOT:PSS/blend/Au and electron-only ITO/ZnO/blend/LiF/Al devices were fabricated for these measurements; their current density–voltage (J–V) characteristics were measured in the absence of light. The SCLC mobilities were estimated using the Mott–Gurney square law:³⁶

$$J = \frac{8}{9} \varepsilon_{\rm r} \varepsilon_{\rm o} \mu \frac{V^2}{L^3}$$

where J is the current density, $\varepsilon_{\rm r}$ is the dielectric constant of the fullerene derivative, $\varepsilon_{\rm o}$ is the permittivity of the vacuum, μ is the hole or electron mobility, L is the film thickness, and $V = V_{\rm appl} - V_{\rm bi}$, where $V_{\rm appl}$ is the applied potential and $V_{\rm bi}$ is the built-in voltage that results from the difference in the work functions of the anode and the cathode. Figure 5a shows the J-V curves of

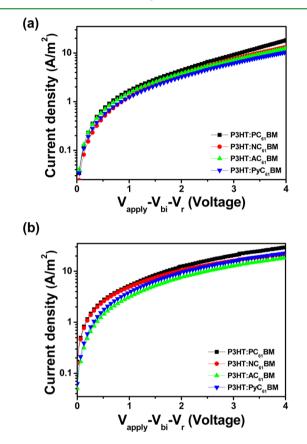


Figure 5. Measured space-charge limited current J-V characteristics of P3HT:PC₆₁BM and P3HT:aryl-substituted fullerene derivative devices for (a) hole- and (b) electron-only devices.

the P3HT:PC₆₁BM, P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM films for hole-only devices, which indicate very similar hole mobility values of 3.37×10^{-4} , 3.05×10^{-4} , 3.11×10^{-4} , and 3.08×10^{-4} cm² V⁻¹ s⁻¹, respectively. Meanwhile, Figure 5b shows the corresponding data for electron-only devices, with P3HT:PC61BM, P3HT:NC61BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM films exhibiting electronmobility values of 2.39×10^{-4} , 2.27×10^{-4} , 1.75×10^{-4} , and 2.13×10^{-4} cm² V⁻¹ s⁻¹, respectively; the measured value for P3HT:PC₆₁BM is close to that reported in the literature.^{24,37} Overall, electron mobility of the P3HT:NC₆₁BM film was similar to that of the P3HT:PC61BM film, whereas the P3HT:AC₆₁BM and P3HT:PyC₆₁BM films exhibited lower electron mobilities, with P3HT:AC₆₁BM showing the lowest. It is known that well-balanced charge-carrier transport is an important factor for increasing the fill factor (FF) of BHJ solar cells;^{38,39} therefore, we further compared the hole to electron mobility ratios $(\mu_{\rm h}/\mu_{\rm e})$, which we found to be different for different films. The P3HT:NC₆₁BM film exhibited a markedly more balanced $\mu_{\rm b}/\mu_{\rm e}$ value than all other films; indeed, it has a slightly better-balanced μ_h/μ_e value than the P3HT:PC₆₁BM film.⁴¹ Meanwhile, P3HT:AC₆₁BM showed the least balanced $\mu_{\rm h}/\mu_{\rm e}$ due to its lower electron mobility, while P3HT:PyC₆₁BM

showed similar characteristics to $P3HT:PC_{61}BM$. The calculated parameters by the SCLC method are summarized in Table 4.

Table 4. Calculated Hole and Electron Mobility Values of P3HT:PC₆₁BM and P3HT:Aryl-Substituted Fullerene Derivative Films Using the SCLC Method

P3HT:acceptor	$M_{\rm H} \; (Cm^2 \; V^{-1} \; S^{-1})$	$M_{E} \; (Cm^2 \; V^{-1} \; S^{-1})$	$M_{\rm H}/M_{\rm E}$
P3HT:Pc ₆₁ Bm	3.37×10^{-4}	2.39×10^{-4}	1.41
P3HT:Nc ₆₁ Bm	3.05×10^{-4}	2.27×10^{-4}	1.34
P3HT:Ac ₆₁ Bm	3.11×10^{-4}	1.75×10^{-4}	1.78
P3HT:Pyc ₆₁ Bm	3.08×10^{-4}	2.13×10^{-4}	1.45

Photovoltaic Properties. Photovoltaic devices were fabricated using P3HT as the electron donor and the arylsubstituted fullerene derivatives as the electron acceptors. To determine the optimum device conditions, we varied the donor to acceptor blend ratios (1:0.5, 1:0.7, and 1:1) for each of the different active layer, and the spin-coated active layers were annealed at 150 °C for 10 min (Figure S5, Supporting Information). A blend ratio of 1:0.7 (w/w) P3HT:fullerene derivative and a film thickness of 100 nm were found to provide optimal performance. Figure 6a shows the I-V curves of the OPVs using P3HT:aryl-substituted fullerene derivatives (1:0.7, w/w) with annealing at 150 °C for 10 min. The photovoltaic characteristics of the fabricated devices at the optimal blend ratio, including the open-circuit voltage (V_{oc}) , short-circuit current density (J_{sc}) , and fill factor (FF), are summarized in Table 5, using the P3HT:PC₆₁BM values as a reference.

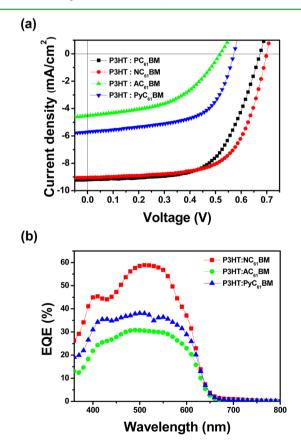


Figure 6. (a) J-V curves and (b) EQE curves of P3HT:arylsubstituted fullerene derivative OPVs annealed at 150 °C for 10 min.

Detailed photovoltaic performances for devices with different blend ratios are listed in Figure S5 (Supporting Information).

Table 5. Photovoltaic Performance of OPVs Based on a Blend of P3HT and Aryl-Substituted Fullerene Derivatives Annealed at 150 °C for 10 min and Measured under AM 1.5G Illumination of 100 mW/cm²

P3HT:acceptor	ratio	$V_{\rm oc}~({\rm V})$	$J_{\rm sc}~({\rm mA/cm^2})$	FF	PCE (%)
P3HT:NC ₆₁ BM	1:0.5	0.70	8.86	0.55	3.40
	1:0.7	0.70	9.06	0.64	4.09
	1:1	0.70	8.21	0.64	3.66
P3HT:AC ₆₁ BM	1:0.5	0.50	3.66	0.51	0.95
	1:0.7	0.52	4.53	0.49	1.14
	1:1	0.54	3.80	0.40	0.81
P3HT:PyC ₆₁ BM	1:0.5	0.57	5.29	0.58	1.72
	1:0.7	0.57	5.71	0.60	1.95
	1:1	0.56	4.14	0.55	1.29
P3HT:PC ₆₁ BM	1:0.7	0.67	9.21	0.61	3.80

The highest PCE values observed for P3HT:PC₆₁BM, P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM were 3.80, 4.09, 1.14, and 1.95%, respectively. The $V_{\rm oc}$ values for the P3HT:PC₆₁BM, P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM devices were 0.67, 0.70, 0.52, and 0.57 V, respectively. The P3HT:NC₆₁BM device showed a higher V_{oc} value than that of the P3HT:PC₆₁BM device, while the values for P3HT:AC₆₁BM and P3HT:PyC₆₁BM were lower than expected, seeing as how $V_{\rm oc}$ values are directly related to the energy gap between the LUMO level of the electron acceptor and the highest occupied molecular orbital (HOMO) level of the electron donor. The 30 mV increase in the V_{oc} value of the P3HT:NC₆₁BM device when compared to the P3HT:PC₆₁BM device is therefore consistent with the LUMO level of NC₆₁BM being 20 mV higher than that of PC₆₁BM according to electrochemical measurements. Similarly, because AC₆₁BM and PyC₆₁BM had lower LUMO levels than that of PC₆₁BM, their devices had lower V_{oc} values; unsurprisingly, the P3HT:AC₆₁BM device had both the lowest LUMO energy

level (-3.75 eV) and the lowest $V_{\rm oc}$ value (0.52 V) among all the four devices.

The measured J_{sc} values for the P3HT:PC₆₁BM, P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM devices were 9.21, 9.06, 4.53, and 5.71 mA/cm², respectively, giving the P3HT:PC₆₁BM device the highest value; while the P3HT:NC₆₁BM device did exhibit similar value, and the J_{sc} value of the P3HT:AC₆₁BM, and P3HT:PyC₆₁BM devices showed notably lower value than the P3HT:NC₆₁BM device. The relatively high J_{sc} value seen for the P3HT:NC₆₁BM device is likely related to its more efficient PL quenching and relatively high electron mobility.

The P3HT:NC₆₁BM device showed a higher FF value (0.64) than either the P3HT:AC₆₁BM (0.49) or P3HT:PyC₆₁BM devices (0.60); indeed, it was even higher than that of the P3HT:PC₆₁BM device (0.61). This result could be explained by the charge balance values measured by the SCLC method. The P3HT:NC₆₁BM blend with the high device FF exhibited a relatively more well-balanced μ_h/μ_e value by the SCLC method than that of the other blends, while the P3HT:AC₆₁BM device that had the lowest FF value also had the poorest balance of μ_h/μ_e .

Figure 6b shows the external quantum efficiency (EQE) curves of the best devices. Overall, EQE values in the range 400–600 nm are mainly due to the absorption by P3HT. The maximum EQEs of the P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM devices are 58.9% (at 510 nm), 38.1% (at 500 nm), and 30.8% (at 490 nm), respectively. The EQE value of the P3HT:NC₆₁BM device is higher than that for P3HT:AC₆₁BM and P3HT:PyC₆₁BM, an observation that is consistent with the J_{sc} values obtained in the fabricated devices.

Morphology. Atomic force microscopy (AFM) was used for understanding the effect of the morphology of the photoactive layers of the fabricated films on the photovoltaic performance of P3HT-based OPVs;⁴⁰ the resulting images are shown in Figure 7. The active layer films were annealed at 150 °C for 10 min, which we found to be the optimum device fabrication conditions. It can clearly be seen that the size of the P3HT domains and the extent of phase separation between the donor and the acceptor were highly uniform in all the films.

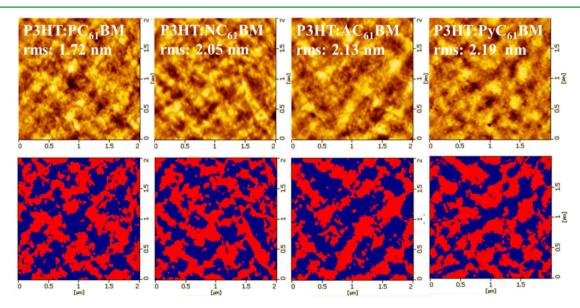


Figure 7. AFM images of (a) P3HT:PC61BM, (b) P3HT:NC61BM, (c) P3HT:AC61BM, and (d) P3HT:PyC61BM films.

The brighter islands represent aggregated P3HT, while the darker valleys represent the fullerene derivatives. The surface root-mean-square (rms) roughness values for the P3HT:PC₆₁BM, P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM films were 1.72, 2.05, 2.04, and 2.13 nm, respectively. In general, a rough surface in P3HT-based blend films indicates P3HT self-organization, an effect which enhances the formation of ordered structures.⁴¹ The observed mean diameters of P3HT domains in P3HT:PC₆₁BM, P3HT:NC₆₁BM, P3HT:AC₆₁BM, and P3HT:PyC₆₁BM blend films were 144, 138, 193, and 157 nm, respectively. The P3HT domains in P3HT:PC₆₁BM, P3HT:NC₆₁BM blend films were better interconnected than those in P3HT:AC₆₁BM and P3HT:PyC₆₁BM films. The AC₆₁BM clusters in P3HT-blend films showed larger cluster size than those of PC₆₁BM, NC₆₁BM, and PyC₆₁BM, and this would increase the mean distance between polymer-fullerene interfaces and decrease the total interfacial area. This result is consistent with the low current output of the device fabricated using AC₆₁BM.⁴²⁻⁴⁴

CONCLUSIONS

We have successfully synthesized new aryl-substituted fullerene derivatives, NC₆₁BM, AC₆₁BM, and PyC₆₁BM, whose absorption spectra were similar to each other and to that of $PC_{61}BM$. The LUMO energy level of NC₆₁BM was somewhat higher than that of $PC_{61}BM$, while those of the others were slightly lower. NC₆₁BM, AC₆₁BM, and PyC₆₁BM were then used as electron acceptors in the fabrication of P3HT-based BHJ solar cells with the device structure of ITO/PEDOT:PSS/ P3HT:acceptor/LiF/Al. The highest PCE values observed for NC₆₁BM, AC₆₁BM, and PyC₆₁BM were 4.09, 1.14, and 1.95%, respectively, compared to a value of 3.80% for PC₆₁BM. The higher PCE value of the NC₆₁BM-based device likely resulted from its higher Voc and FF values due to its higher LUMO energy level and more balanced charge carrier mobility, respectively, when compared to PC₆₁BM. Therefore, among the three aryl-substituted fullerene derivatives, NC₆₁BM appears to be the most promising acceptor candidate for producing highly efficient OPVs.

EXPERIMENTAL SECTION

Materials. Naphthalene, anthracene, pyrene, methyl 5-chloro-5oxopentanoate, aluminum trichloride, *p*-toluene-sulfonylhydrazide, hydrogen chloride, sodium methoxide, pyridine, and 1,2-dichlorobenzene were purchased from Aldrich. Fullerene (C_{60}) was purchased from Nano-C. All chemicals were used without further purification.

Measurements. $^1\!\mathrm{H}$ and $^{13}\!\mathrm{C}$ NMR spectra were recorded using a Varian 300 spectrometer. FAB-MS spectra were measured using a ZMS-DX303 mass spectrometer (JEOL Ltd.), while absorption spectra were measured using a Model S-300 Scinco UV-vis spectrophotometer. Photoluminescence (PL) spectra were recorded using a Shimadzu F-7000 FL Spectrophotometer. TGA was performed on a TGA Q500 V6.7 Build 203 (TA Instruments), using a 2910 MDSC V4.4E (TA Instruments) analyzer under a N2 atmosphere with a heating and cooling rate of 10 °C/min. High-performance liquid chromatography (HPLC) spectra were recorded using a WatersTM 600 Controller with WatersTM 486 tunable absorbance detector. AFM was completed using SPM L-Trace II operating in the tapping mode in air after annealing at 150 °C for 10 min. CV (CH Instruments 600D) was performed with a 0.10 M solution of tetrabutylammonium tetrafluoroborate in 1,2-dichlorobenzene, with the analyte present in a concentration of 10⁻³ M and employing a scan rate of 100 mV/s at room temperature under argon atmosphere. A Pt electrode was used as the working electrode, while a Pt wire and a Ag/Ag⁺ electrode were used as the counter electrode and reference electrode, respectively.

SCLC hole and electron mobility measurements were completed using ITO/PEDOT:PSS/blend/Au and ITO/ZnO/blend/LiF/Al devices, respectively. GIWAXS measured at the 4C2 beamline in the Pohang Accelerator Laboratory (PAL, South Korea). 2D GIWAXS patterns were recorded using CCD detector positioned at the end of a vacuum guide tube in which the X-ray pass through the thin films under vacuum, where operation conditions were set to a X-ray wavelength of 1.3807 Å and a sample-to-detector distance (SDD) of 122.83. The incidence angle was carefully chosen to allow for complete X-ray penetration of the film. The scattering spectra were collected as a 2D image map oriented along the plane of the substrate (q_x) and the plane perpendicular to the substrate (q_z).

Fabrication of Photovoltaic Devices. Composite solutions of P3HT and aryl-substituted fullerene derivatives were prepared using 1,2-dichlorobenzene as a solvent. The concentration was kept within the range of 1.0-2.0 wt %. Polymer photovoltaic devices employing an ITO/PEDOT:PSS/P3HT:acceptor/LiF/Al sandwich structure were then fabricated. The ITO-coated glass substrates were cleaned by sonication in a detergent solution followed by sonication successively in distilled water, acetone, and 2-propanol. A 40 nm thick layer of PEDOT:PSS (Clevious P VP AI4083) was then spin-coated onto the cleaned ITO substrate after exposing the ITO surface to ozone for 10 min. This PEDOT:PSS layer was baked on a hot plate at 150 °C for 15 min, after which the composite solution was filtered using a 0.45- μ m syringe filter and spin-coated on the PEDOT:PSS layer at 900 rpm up to a thickness of 100 nm. Finally, a top electrode consisting of a 0.5 nm thick layer of LiF and a 120 nm thick layer of Al was deposited on the polymer active layer in a thermal evaporator under a vacuum of 3 \times 10⁻⁶ Torr.

The J-V characteristics of all photovoltaic cells were analyzed under simulated solar light (intensity: 100 mW/cm²; AM 1.5G) provided by an Oriel 300 W solar simulator. Electric data were recorded using a Keithley 2400 source-measure unit, and all characterizations were carried out in an ambient environment. The intensity of the simulated sunlight used was calibrated using a standard Si photodiode detector (BS520, Bunkoh-keiki) that had been calibrated at National Renewable Energy Laboratory (NREL). EQE values were measured as a function of wavelength from 360 to 800 nm using a device (PV Measurements, Inc.) equipped with a halogen lamp light source, while calibration was performed using the Si reference photodiode. The measurement was carried out after masking all but the active cell area of the fabricated device. All characterization steps were carried out under ambient laboratory atmosphere. The active area of the solar cells was 9 mm². The thickness of the thin film was measured using a KLA Tencor Alpha-step IQ surface profilometer with an accuracy of ± 1 nm.

Synthesis of Aryl-Substituted Fullerene Derivatives. Synthesis of Methyl 5-(Naphthalen-2-yl)-5-oxopentanoate (1). To a solution of naphthalene (3.0 g, 23.41 mmol) in dichloromethane (150 mL) was added methyl 5-chloro-5-oxopentanoate (5.77 g, 35.06 mmol). Aluminum trichloride (6.24 g, 46.8 mmol) was added to the solution, and the resulting mixture was first stirred at 0 °C for 30 min and then warmed to room temperature. After stirring overnight, the reaction was quenched by the dropwise addition of an aqueous solution of 2 M HCl, after which the mixture was partitioned between dichloromethane and brine. The organic layer was dried with anhydrous MgSO4 and then concentrated under reduced pressure. The crude product was purified by column chromatography using hexane/ethyl acetate as the eluent to give 1 (2.75 g, 45.8%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 8.48 (s, 1H), 8.04 (d, 1H), 7.97 (d, 1H), 7.87 (t, 2H), 7.56 (m, 2H), 3.69 (s, 3H), 3.20 (t, 2H), 2.49 (t, 2H), 2.13 (m, 2H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm): 199.447, 173.915, 135.763, 134.338, 132.717, 129.873, 129.758, 128.629, 127.961, 126.963, 123.986, 51.771, 37.702, 33.368, 19.696.

Synthesis of Methyl 5-(Anthracen-9-yl)-5-oxopentanoate (2). Compound 2 was prepared from anthracene by the same procedure used to make 1 (2.43 g, 47.1%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 9.34 (s, 1H), 8.45 (s, 1H), 8.17 (d, 1H), 7.98 (m, 3H), 7.51 (m, 3H), 3.69 (s, 3H), 3.21 (t, 2H), 2.52 (t, 2H), 2.19 (m, 2H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm): 203.440, 173.915, 135.316, 133.801, 133.160, 132.141, 131.767, 129.342, 128.724, 128.007,

127.891, 127.165, 126.381, 126.231, 125.675, 123.696, 51.836, 40.776, 33.449, 20.117.

Synthesis of Methyl 5-oxo-5-(Pyren-1-yl) pentanoate (3). Compound 3 was prepared from pyrene by the same procedure used to make 1 (2.2 g, 44.5%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 8.93 (d, 1H), 8.34 (d, 1H), 8.23 (m, 5H), 8.09 (d, 2H), 3.69 (s, 3H), 3.29 (t, 2H), 2.53 (t, 2H), 2.21 (m, 2H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm): 203.810, 173.916, 133.837, 132.129, 131.147, 130.597, 129.705, 129.565, 129.466, 127.137, 126.477, 126.390, 126.337, 126.116, 125.009, 124.940, 124.333, 124.113, 51.814, 41.355, 33.483, 20.232.

Synthesis of (E)-Methyl 5-(Naphthalen-2-yl)-5-(2-tosylhydrazono) Pentanoate (4). Compound 1 (2.5 g, 9.75 mmol) and p-toluenesulfonylhydrazide (2.72 g, 14.6 mmol) were dissolved in methanol (250 mL). A catalytic amount of HCl was added to the mixture, which was then refluxed for 12 h. The resulting mixture was partitioned between dichloromethane and brine, and the organic layer was dried with anhydrous MgSO4. The crude product was purified by column chromatography using hexane/ethyl acetate as the eluent. The resulting solid was recrystallized from dichloromethane and hexane, and the resulting white solid was dried in vacuo to give 4 as a white powder (2.76 g, 66.7%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 9.37 (s, 1H), 7.95 (m, 4H), 7.82 (t, 3H), 7.48 (m, 2H), 7.32 (t, 2H), 3.83 (s, 3H), 2.74 (t, 2H), 2.39 (m, 5H), 1.78 (m, 2H); ¹³C NMR (75 MHz, CDCl₃) δ (ppm).: 175.010, 153.597, 143.993, 136.271, 134.018, 133.843, 133.169, 129.731, 128.713, 128.381, 128.185, 127.833, 127.075, 126.602, 126.105, 123.888, 52.612, 32.341, 25.937, 21.806, 21.306.

Synthesis of (E)-Methyl 5-(Anthracen-9-yl)-5-(2-tosylhydrazono) Pentanoate (5). Compound 5 was prepared from 2 by the same procedure used to make 4 (1.63 g, 52.6%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 8.50 (s, 1H), 8.09(d, 1H), 8.03 (d, 1H), 7.68 (d, 2H), 7.58 (d, 2H), 7.48 (m, 3H), 7.34 (d, 2H), 7.21 (s, 1H), 7.14 (d, 1H), 3.62 (s, 3H), 2.68 (t, 2H), 2.54 (s, 3H), 2.33 (t, 2H), 1.92 (m, 2H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm): 173.715, 157.223, 144.286, 135.630, 132.452, 131.333, 130.890, 129.773, 129.015, 128.217, 127.321, 127.254, 126.905, 126.850, 126.453, 126.173, 125.711, 124.983, 124.645, 124.439, 123.024, 51.773, 38.425, 33.445, 21.938, 21.536.

Synthesis of (E)-Methyl 5-(Pyren-1-yl)-5-(2-tosylhydrazono) Pentanoate (6). Compound 6 was prepared from 3 by the same procedure used to make 4 (1.96 g, 64.9%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 9.23 (s, 1H), 8.35 (m, 8H), 7.81 (m, 2H), 7.57 (d, 1H), 7.49 (d, 2H), 3.59 (s, 3H), 2.69 (t, 2H), 2.50 (s, 3H), 2.39 (t, 2H), 2.13 (m, 2H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm): 173.738, 156.944, 144.233, 144.207, 135.617, 132.380, 132.301, 131.628, 130.985, 130.739, 129.916, 128.594, 128.320, 128.129, 128.021, 126.508, 126.330, 126.264, 125.090, 124.802, 122.552, 51.780, 37.961, 33.425, 21.939, 21.497.

Synthesis of NC₆₁BM. Compound 4 (0.5 g, 1.18 mmol) and sodium methoxide (0.13 g, 2.41 mmol) were dissolved in dry pyridine (20 mL) under nitrogen. The mixture was stirred at room temperature for 30 min. To the mixture, a solution of C_{60} (0.68 g, 0.94 mmol) in 1,2-dichlorobenzene (250 mL) was added, and the mixture was stirred at 80 °C under nitrogen for 48 h. The mixture was then heated to 180 °C and stirred overnight. After the mixture cooled to room temperature, the solvent was removed by distillation, and the residue was purified by column chromatography using toluene as the eluent. The crude product was purified by several reprecipitations in methanol followed by extraction with hexane and methanol in a Soxhlet extractor to give NC₆₁BM as a dark brown solid (305 mg, 26.9%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 8.36 (s, 1H), 8.04 (m, 2H), 7.98 (m, 2H), 7.59 (m, 2H), 3.65 (s, 3H), 2.97 (t, 2H), 2.52 (t, 2H), 2.23 (m, 2H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm): 173.655, 148.013, 146.113, 145.419, 145.339, 145.267, 145.024, 144.906, 144.743, 144.690, 144.246, 143.981, 143.287, 143.176, 142.482, 142.357, 141.246, 141.007, 138.324, 134.269, 133.245, 133.127, 131.940, 129.352, 128.723, 128.469, 128.108, 126.894, 126.754, 52.084, 51.886, 34.124, 31.146, 22.702. FAB-MS (M+1, C76H16O2): Calcd, 960.12. Found, 960. Elemental analysis for C₇₆H₁₆O₂: Calcd, C, 94.99;

H, 1.68; O, 3.33. Found, C, 95.54; H, 1.91; O, 1.99 (purity, 98.43% by HPLC).

Synthesis of AC₆₁BM. AC₆₁BM was prepared from **5** by the same procedure used to make NC₆₁BM (110 mg, 10.8%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 9.41 (s, 1H), 8.66 (s, 1H), 8.21(d, 1H), 8.13 (m, 2H), 7.63 (m, 2H), 7.55 (m, 2H), 3.61 (s, 3H), 3.38 (t, 2H), 3.18 (t, 2H), 2.52 (m, 2H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm): 173.632, 148.916, 148.025, 146.120, 145.430, 145.274, 145.032, 144.914, 144.747, 144.698, 144.250, 143.985, 143.294, 143.188, 142.494, 142.365, 141.253, 141.014, 138.332, 134.280, 133.260, 133.142, 131.940, 129.349, 128.723, 128.472, 128.116, 126.898, 126.758, 52.095, 51.871, 34.128, 33.889, 22.735. FAB-MS (M+1, C₈₀H₁₈O₂): Calcd, 1010.13. Found, 1011. Elemental analysis for C₈₀H₁₈O₂: Calcd, C, 95.04; H, 1.79; O, 3.17. Found, C, 94.33; H, 2.20; O, 2.31 (purity, 97.58% by HPLC).

Synthesis of PyC₆₁**BM.** PyC₆₁BM was prepared from 6 by the same procedure used to make NC₆₁BM (225 mg, 21.7%). ¹H NMR (300 MHz, CDCl₃) δ (ppm): 9.21 (d, 1H), 8.56 (d, 1H), 8.25 (m, SH), 8.05 (m, 2H), 3.59 (s, 3H), 2.38 (t, 2H), 3.17 (t, 2H), 2.42 (m, 2H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm): 173.579, 149.076, 147.558, 146.249, 145.434, 145.309, 144.941, 144.868, 144.041, 143.165, 143.165, 142.387, 142.300, 137.156, 132.596, 131.720, 131.526, 130.912, 130.206, 129.705, 129.034, 128.302, 127.771, 126.557, 126.158, 126.067, 124.296, 123.738, 51.822, 50.578, 34.166, 33.123, 23.971. FAB-MS (M+1, C₈₂H₁₈O₂): Calcd, 1035.02. Found, 1035. Elemental analysis for C₈₂H₁₈O₂: Calcd, C, 95.16; H, 1.75; O, 3.09. Found, C, 95.61; H, 1.86; O, 1.76 (purity, 98.64% by HPLC).

ASSOCIATED CONTENT

S Supporting Information

¹H NMR spectra and FAB-mass spectra of aryl-substituted fullerene derivatives, TGA curves, and OPV parameter data. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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